Hong Kong Shue Yan University
Minor Programme for the Academic Year 2016/17

Department of Economics and Finance

Minor Programme Offered: Minor in Finance

1) Students are required to complete a minimum of 15 credits by choosing 5 courses out of the 7 courses offered:

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Credits</th>
<th>Pre-requisite(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FIN243</td>
<td>Money and Banking</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN245</td>
<td>Introduction to Corporate Finance</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN330</td>
<td>International Finance</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN349</td>
<td>Wealth Management and Planning</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN423</td>
<td>Financial Risk Analysis and Management</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN433</td>
<td>Seminar on Global Financial Environment</td>
<td>3</td>
<td>NIL</td>
</tr>
<tr>
<td>FIN445</td>
<td>Regulation and Management of Financial Institutions in Hong Kong</td>
<td>3</td>
<td>NIL</td>
</tr>
</tbody>
</table>

DESCRIPTION OF COURSES (MINOR IN FINANCE)

**Fin. 243 Money and Banking**

1 Term; 3 Credits

This course aims to use a number of basic economic models to develop students’ understanding of the nature of a money economy, the structure of a banking system, principles and practice of bank management, and the role of monetary policy in the economy.

**Fin. 245 Introduction to Corporate Finance**

1 Term; 3 Credits

Integrating Accounting and Finance, the theory and practice of corporate finance in this course enable students to address the concepts and techniques of valuation of cash flows, capital budgeting decisions, risk and return, cost of capital, capital structure theories and decisions, dividend theories and policy, working capital management, and financial planning.

**Fin. 330 International Finance**

1 Term; 3 Credits
The course aims to give students key fundamental concepts in international finance, macroeconomics and trade. Students will be introduced to the current frontier of research in selected areas of international finance, preparing them to conduct original research in this important subject area. This course outlines the basic principles essential for participation in international financial markets, and financing international operations, including balance-of-payments adjustment theories, determination of exchange rates, international parity conditions, use of financial derivatives such as spot, forward, futures, options and swaps to manage foreign exchange risks and exposures.

**Fin. 349 Wealth Management and Planning**  
1 Term; 3 Credits
The course aims to provide students with a comprehensive understanding of the process of wealth planning and management, and equip them with the knowledge and skills that a wealth manager should possess in identifying and assessing clients’ needs and goals. Moreover, it helps students acquire global asset allocation and portfolio management techniques. Students will also be trained to make all aspects of wealth management decisions from an integrated perspective.

**Fin. 423 Financial Risk Analysis and Management**  
1 Term; 3 Credits
This course provides an overview of the main theoretical concepts underlying the analysis and management of financial (interest rate, exchange rate, equity price, commodity price, liquidity and credit) risks, and to show how these concepts can be implemented in practice in a variety of contexts. In addition to the quantitative approaches, this course also focuses on the qualitative approaches under the Basel II and the transition to the Basel III in capital requirements, risk governance, risk culture, etc, in the light of the current financial crisis which has demonstrated that financial models have limitations.

**Fin. 433 Seminar on Global Financial Environment**  
1 Term; 3 Credits
The course aims to familiarize students with the process of global financial integration. Students will thus be introduced to different ways of interpreting and understanding the global financial environment. Moreover, students will be encouraged to consolidate critical and evaluative skills including conceptualization and analysis. They can also be equipped with the ability to apply theories to current developments in international finance.

**Fin. 445 Regulation and Management of Financial Institutions in Hong Kong**  
1 Term; 3 Credits
The course aims to highlight the importance of regulations in maintaining financial stability and prevention of bank failures. It identifies and assesses the trade-offs between regulation/supervision and domestic/international competitiveness, between safety nets and other major policies that have been ushered in by the recent global financial crises. It also sheds light on Hong Kong’s regulatory tradition and policy implications on Hong Kong financial sector.